

Fixed Income Investment Oversight Group Meeting – Florida PRIME
Meeting Minutes
November 27, 2018 2:00 p.m. Panther Conference Room

Attending Members: Karen Chandler – *Director, Enterprise Risk Management*; Sooni Raymaker – *Chief Risk & Compliance Officer*; Sheilah Smith – *Director, Public Market Compliance*; Katy Wojciechowski – *Senior Investment Officer, Fixed Income*

Other Attendees: Annette Bullock – *Administrative Assistant (RMC)*; Lisa Collins – *Manager, Public Market Compliance*; Cherie Jeffries – *Director, Fixed Income Trading*

Minutes: Lisa Collins – *Manager, Public Market Compliance*

Agenda Topics

1. Follow-up Items:

None.

2. Compliance Review of October 2018 Data:

Conclusions:

A. New Exceptions Report.

No exceptions were reported. See *Florida PRIME New Exceptions Report*.

B. Open/Ongoing Exceptions Report:

No exceptions were reported. See *Florida PRIME Open/Ongoing Exceptions Report*.

C. Affected Securities Report:

No Affected Securities were reported. See *Florida PRIME Affected Securities Report*.

3. Other Topics:

No other topics were presented for discussion.

4. Action Items:

None.